

# Optical Characterization

- UV-Visible Spectroscopy
- Photoluminescence (PL) Spectroscopy



# UV-VISIBLE SPECTROSCOPY FOR NANOMATERIALS

**UV-Visible (UV-Vis) spectroscopy** is an optical characterization technique that studies the **interaction of ultraviolet and visible light (200–800 nm)** with matter.

In nanomaterials research, UV-Vis is indispensable for probing:

- Optical absorption behavior
- Band gap energy of semiconductors
- Size-dependent effects (quantum confinement)
- Surface plasmon resonance (SPR) in metal nanoparticles
- Concentration and dispersion stability



- UV-Vis spectroscopy is based on electronic transitions. When photons of suitable energy strike a material, electrons are excited from a lower energy level to a higher one.
- Common transitions:  $\sigma \rightarrow \sigma^*$ ,  $n \rightarrow \pi^*$ ,  $\pi \rightarrow \pi^*$ , Valence band  $\rightarrow$  conduction band (in semiconductors)
- Absorption occurs when:  $h\nu = E_2 - E_1$

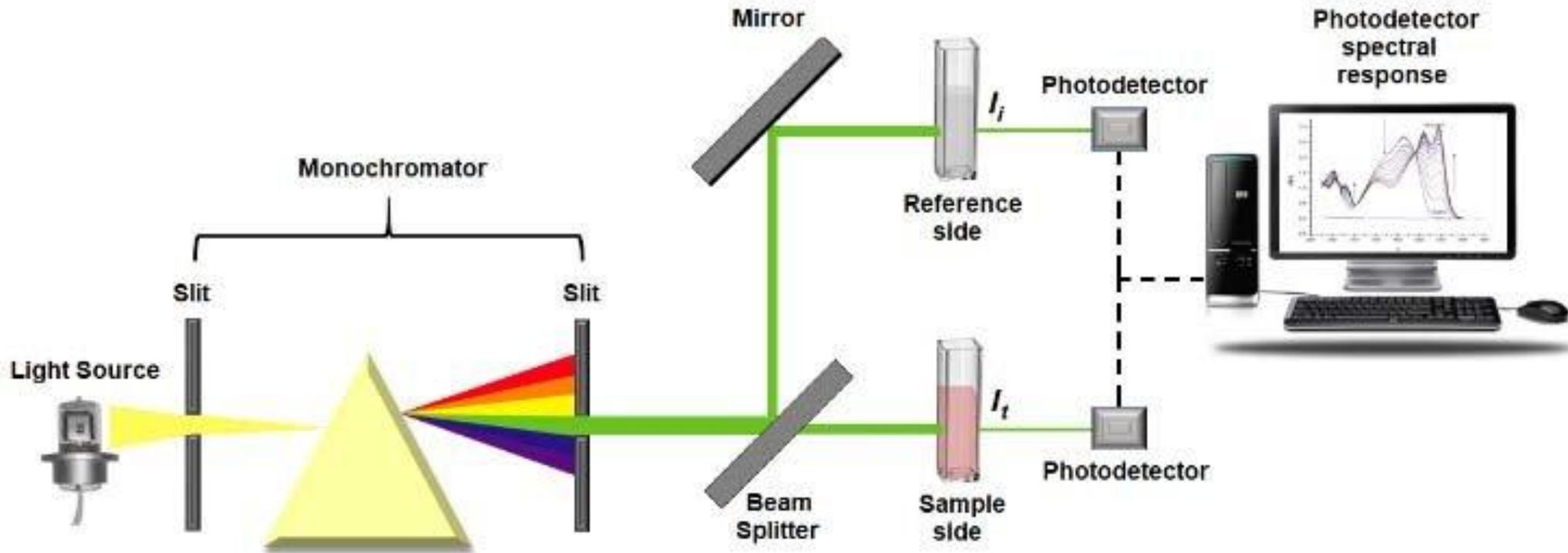
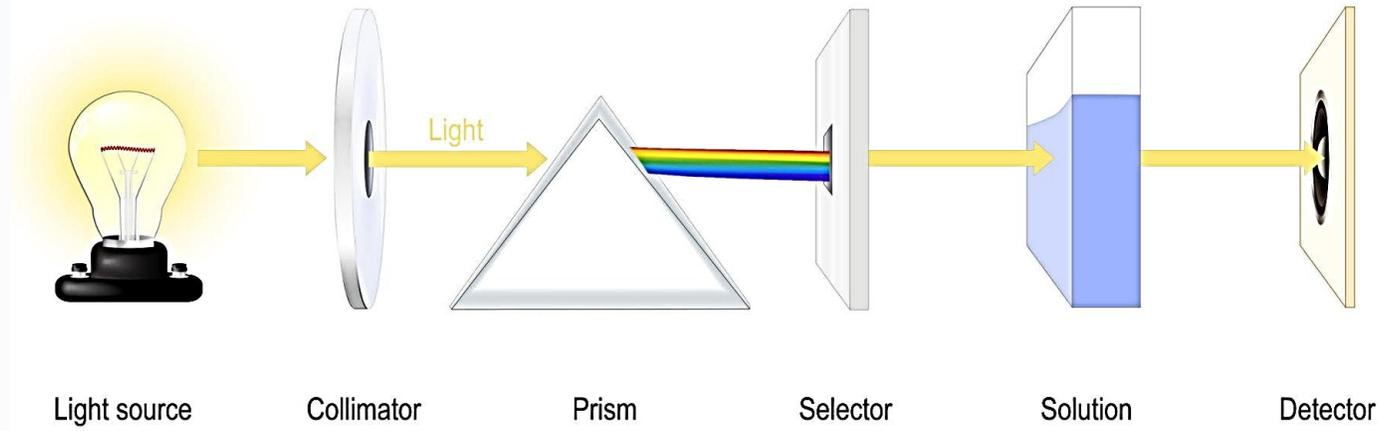


# Why UV-Vis Is Important for Nanomaterials

- Nanomaterials show **size-dependent optical properties** due to:
  - ✓ **Quantum confinement effect**
  - ✓ Large surface-to-volume ratio
  - ✓ Altered electronic band structure
- As particle size decreases:
  - ✓ Band gap increases
  - ✓ Absorption edge shifts toward shorter wavelength (blue shift)
- UV-Vis captures these changes clearly.



# Spectrophotometer



## Radiation Source

The source provides continuous radiation in the **UV and visible regions**.

### (a) Deuterium Lamp

- Range: **190–350 nm (UV region)**
- Produces stable UV radiation

### (b) Tungsten–Halogen Lamp

- Range: **350–800 nm (Visible region)**
- Produces intense visible light

Modern instruments automatically switch between lamps.

**Function:** Supplies electromagnetic radiation for analysis.

## Monochromator

Selects a **single wavelength** from the broad radiation source.

### Components of Monochromator

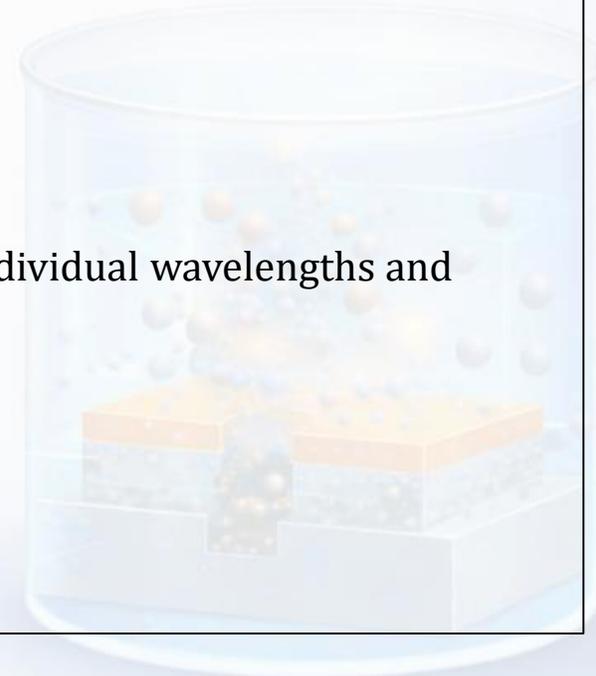
- Entrance slit
- Collimating mirror
- Diffraction grating or prism
- Focusing mirror
- Exit slit

### Function:

Disperses polychromatic light into individual wavelengths and selects desired wavelength.

### Importance:

Improves resolution and accuracy.



## **Beam Splitter (Double-Beam Instruments)**

Divides light into:

- **Reference beam**
- **Sample beam**

Both beams pass simultaneously through reference & sample cuvettes.

### **Advantage:**

Compensates for fluctuations in source intensity.

## **Sample Holder (Cuvette Compartment)**

Holds the sample solution.

### **Cuvette Types**

- **Quartz cuvette** → UV & Visible
- **Glass/Plastic cuvette** → Visible only

Typical path length: **1 cm**

### **Function:**

Allows radiation to pass through sample.



# Photoluminescence (PL) Spectroscopy

- Photoluminescence (PL) spectroscopy is an **optical characterization technique** that studies the **light emitted by a material after it absorbs photons**.
- For nanomaterials, PL is extremely important because it gives information about:
  - ✓ Band gap energy
  - ✓ Defect states
  - ✓ Recombination processes
  - ✓ Charge carrier dynamics
  - ✓ Surface states



# Basic Principle of PL

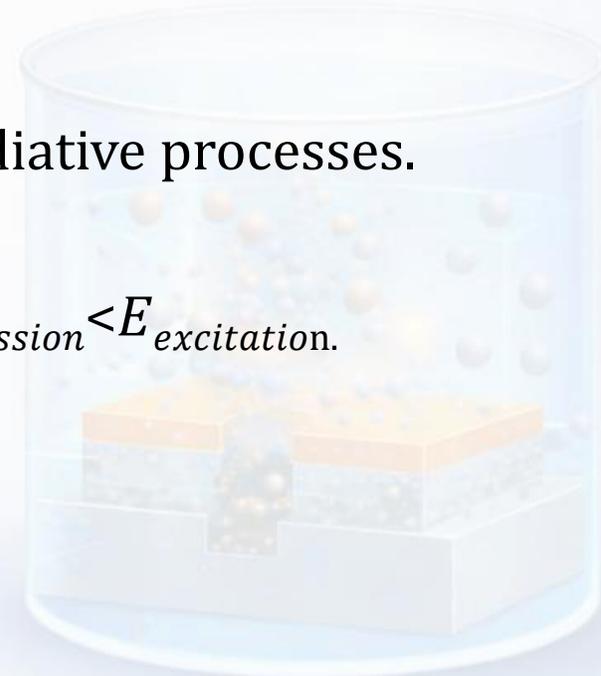
- PL is based on three main steps:

- ❖ Excitation: A photon of energy  $h\nu$  excites an electron from the valence band to the conduction band.

- ❖ Relaxation: The excited electron loses some energy through non-radiative processes.

- ❖ Emission: The electron recombines with a hole and emits light.  $E_{emission} < E_{excitation}$ .

- ❖ This energy difference is called Stokes shift.



# Types of Photoluminescence

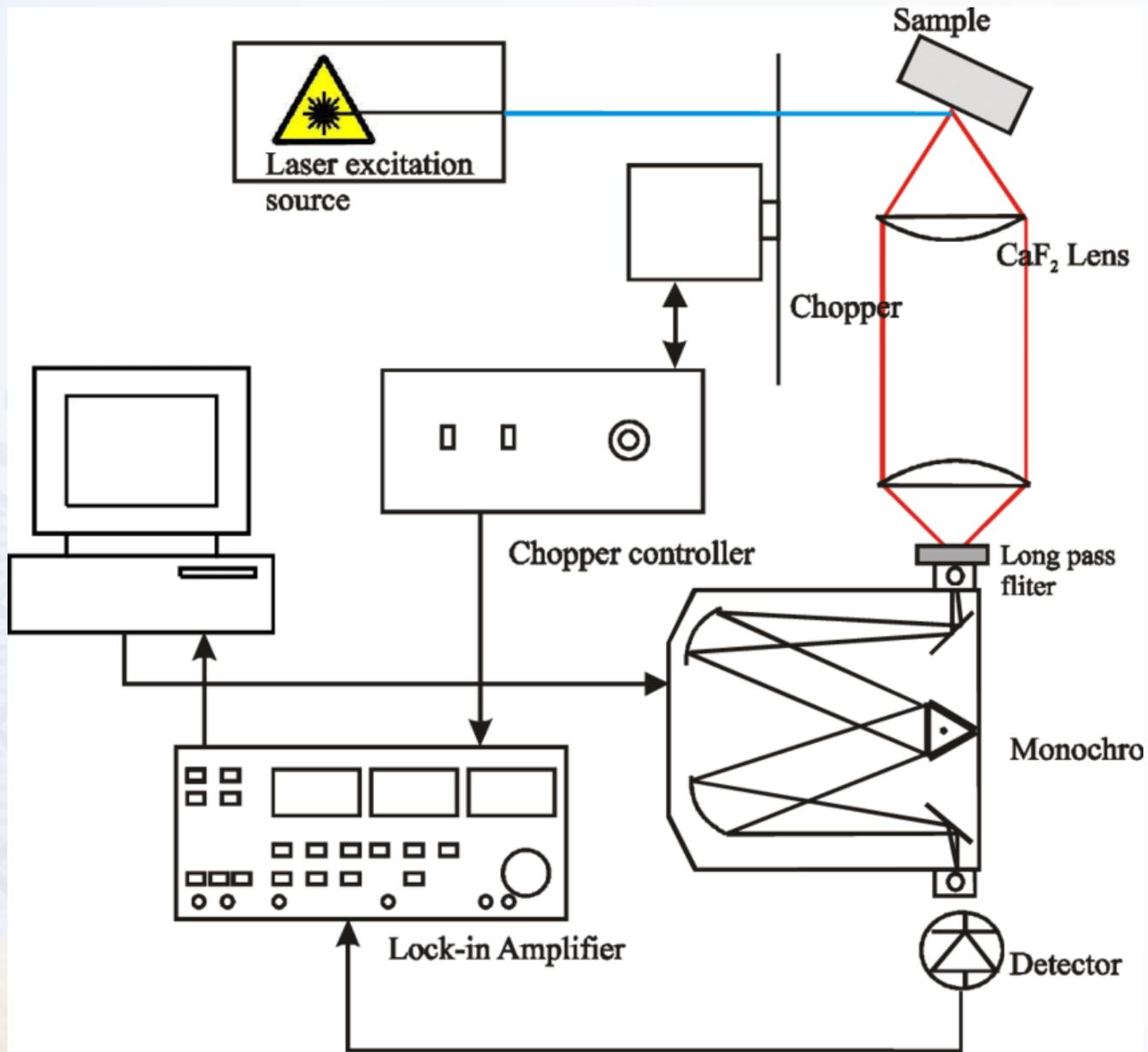
## (A) Fluorescence

- Fast emission ( $10^{-9}$  s)
- Common in semiconductors

## (B) Phosphorescence

- Slow emission
- Involves forbidden transitions
- Most semiconductor nanomaterials show fluorescence.





## Main Components:

### Excitation Source

- Laser or Xenon lamp
- Provides monochromatic light

### Monochromator (Excitation Side)

- Selects specific excitation wavelength

### Sample Holder

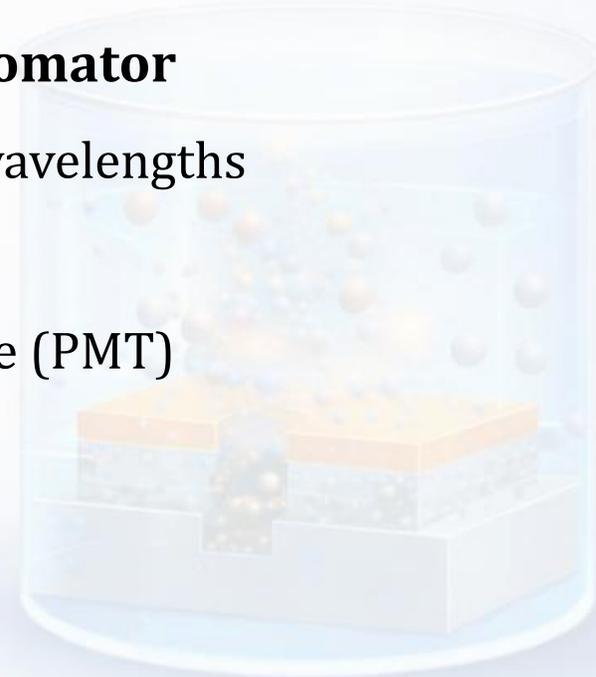
### Emission Monochromator

- Separates emitted wavelengths

### Detector

- Photomultiplier tube (PMT)

### Data System



## Why PL Is Important for Nanomaterials

Nanomaterials have:

- ✓ High surface area
- ✓ Surface defects
- ✓ Quantum confinement effects
- PL helps in studying:
  - ✓ Band-to-band recombination
  - ✓ Defect-related emission
  - ✓ Surface trap states
  - ✓ Charge recombination rate

## PL in Semiconductor Nanoparticles

### (A) Band-Edge Emission

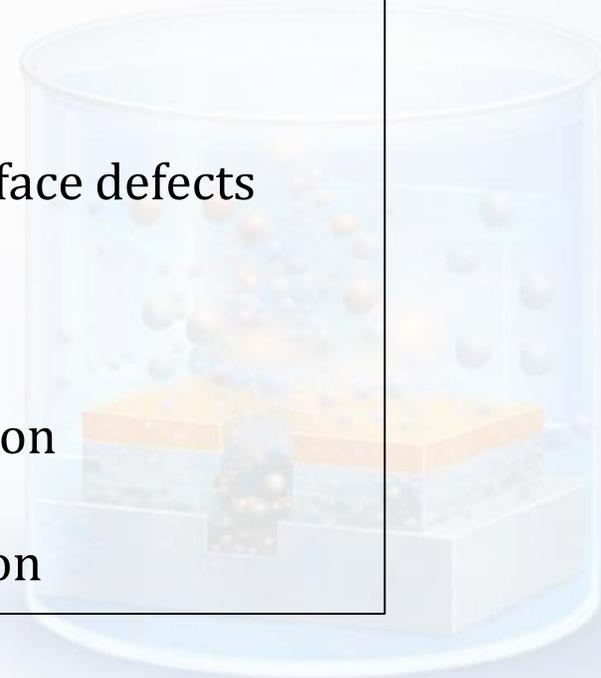
- Sharp peak
- Near band gap energy

### (B) Defect Emission

- Broad peak
- Due to oxygen vacancies, surface defects

Example: ZnO nanoparticles

- UV peak → band-edge emission
- Visible peak → defect emission



## Quantum Confinement Effect in PL

As particle size decreases:

- Band gap increases
- Emission peak shifts toward shorter wavelength (blue shift)

This confirms nanoscale size.

## PL and Photocatalytic Activity

Very important for PG students:

- High PL intensity → high recombination rate
- Low PL intensity → better charge separation

Better charge separation → better photocatalyst.

Thus, lower PL intensity often indicates improved photocatalytic efficiency.



## Advantages of PL

- ✓ Non-destructive
- ✓ Highly sensitive
- ✓ Simple sample preparation
- ✓ Detects defects

## Limitations

- ✗ Only luminescent materials  
can be studied
- ✗ Surface contamination  
affects results
- ✗ Quantitative analysis limited

